

4th Program - Lao Professional Investment Banking I (4th Program-La.PIB I)

Date: 07-08, 14-15, 21-22, 28-29 May, 02-03, 11-12 And 19-26 June, 2016

Week	Date /Time	Topics	Hrs.	Speaker
1	Saturday (07 May 2016)			
	8.30 - 9.00 am.	Registration		
	9.00 - 9.45 am.	Opening Ceremony		Mr. Vankham Voravong Chief Executive Officer Lao Securities Exchange
	9.45 - 10.15 am.	Break		
	10.15 - 12.15 am.	Ice Breaking Activities	2	
	13.30 - 16.30 pm	Financial Market Structure and Mechanism <ul style="list-style-type: none"> Financial Market: Money Market and Capital Market Capital Market Structure and Mechanism <ul style="list-style-type: none"> Types and Structure of Capital Market Capital Markets Mechanism Overview of Rules and Regulations and Related Organization (e.g. LSCO, LSX) Capital Market and AEC 	3	Dethphouvang Moularat, Assoc. Prof. Former Chairman and CEO of Lao Securities Exchange
	Sunday (08 May 2016)			
	9.00 - 12.00 pm.	Financial Information and Financial Analysis Financial Statement (1) <ul style="list-style-type: none"> Getting to know financial statements <ul style="list-style-type: none"> Balance Sheet Income Statement Cash Flow Statement 	3	Chusri Theasiripetch Associate Professor Head of Accounting School of Business Administration Chiang Mai University
	13.30 - 16.30 pm	Financial Statement (2) <ul style="list-style-type: none"> Financial statement analysis <ul style="list-style-type: none"> Standardizing statements Vertical & Horizontal analysis Ratio analysis Case study/Workshop 	3	

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Week	Date /Time	Topics	Hrs.	Speaker
3	Saturday (21 May 2016)			
	9.00 - 12.00 pm	Broker & Trading <ul style="list-style-type: none"> ▪ Role of broker in capital market - Trading rules ▪ Order transmission ▪ Cash account trading 	3	Thepsavanh Kitignavong, Mr. Deputy Managing Director BCEL-KT
	13.30 - 16.30 pm.	<ul style="list-style-type: none"> ▪ Margin account trading ▪ Clearing and Settlement Procedures ▪ Stock Index ▪ Tax for Equity Investment Case study/Workshop	3	Viengkham Vansilalom, Mr. Director of Management and Administration Lao Securities Exchange
	Sunday (22 May 2016)			
	8.30 - 10.00 pm	<ul style="list-style-type: none"> ▪ Economic Situations and Investment 	1.5	Assoc. Prof. Somchith Souksavath Dean of Faculty of Economics and Business Management National University of Laos
	10.30 - 12.00 pm	Role of investment banking <ul style="list-style-type: none"> ▪ Why going public? ▪ Investment banking roles: <ul style="list-style-type: none"> • Equity • Debt • Equity-linked • Derivative 	1.5	Somphob Sakpunpanom, Mr. Chief Executive Officer APM(LAO) Securities Co., Ltd.
	13.30 - 16.30 pm	Investment banking activities: <ul style="list-style-type: none"> ▪ Initial Public Offering ▪ Loan arrangement ▪ Debt restructuring ▪ Joint venture ▪ Takeover or Merger & Acquisition ▪ Q&A ▪ Case Study/Workshop 	3	

Week	Date /Time	Topics	Hrs.	Speaker
4	Saturday (28 May 2016)			
	9.00 - 12.00 pm	Financial Strategy and Portfolio Investment <ul style="list-style-type: none">Risk and ReturnPortfolio TheoryCapital Asset Pricing Model (CAPM)Securities Analysis	6	Montree Socatiyanurak, PhD. Associate Professor National Institute of Development Administration
	13.30 - 16.30 pm	<ul style="list-style-type: none">Portfolio Performance Evaluation Case Study/Workshop		
	Sunday (29 May 2016)			
	9.00 - 12.00 pm	Roles of LSCO as a Supervisory Unit <ul style="list-style-type: none">Rule and RegulationSecurities Law	3	Saysamone Chanthachack, Ms. President Lao Securities Commission Office (LSCO)
13.30 - 16.30 pm.	Ethics and Corporate Governance <ul style="list-style-type: none">Business ethicsCorporate Social Responsibility (CSR)Management, Board of directors and shareholdersAgency costsCEO compensationCase Study/Workshop	3	Dethphouvang Moularat, Assoc. Prof. Former Chairman and CEO of Lao Securities Exchange	

Week	Date /Time	Topics	Hrs.	Speaker
5	Thursday (02 Jun 2016)			
	9.00 - 12.00 pm	Study Tour / Company Visit in Bangkok <ul style="list-style-type: none">The Stock Exchange of ThailandBroker firm: Finansia Syrus Securities Plc.(FSS) Alma Link Office 9 th , 14 th , 15 th Floor, Alma Link Building, 25 Soi Chidlomm, Ploenchit Road, Lumpini, Pathumwan, Bangkok 10330 Tel. (02) 646-9999	6	Mr. Somphob Keerasuntonpong President Mr. Kuntra Ladavalyn Na Ayudhya Executive Director
	13.30 - 16.30 pm	<ul style="list-style-type: none">Financial advisory firm: Asset Pro Management Co.,Ltd. (APM) 999/9 The Offices at Central World, Fl. 8,10 Unit 1101-1102, Rama I Road, Patumwan, Bangkok		Mr. Somphob Sakpunpanom Chief Executive Officer Mr. Seksun Thanopajai President Mr. Somsak Sirichainarumitr President Asst. Prof. Suda Peetawan UTCC
Friday (03 Jun2016)				
	8.30 - 12.00 pm	Study Tour / Company Visit in Bangkok <ul style="list-style-type: none">Listed company Pioneer Motor Public Co., Ltd	2	Somphob Sakpunpanom Chief Executive Officer APM(LAO) Securities Co., Ltd. Suda Peetawan Assistant Professor School of Business Administration University of The Thai Chamber of Commerce

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6	Saturday (11 Jun 2016)			
	9.00 - 10.30 am	Law on anti-money laundering	1.5	BOL
	10.45 - 12.15 pm	Enterprise Law	1.5	NUOL
	13.30 - 15.00 pm	The bankruptcy of enterprises Law	1.5	NUOL
	15.15 - 16.45 pm.	The brown guaranteed performance contracts Law	1.5	NUOL
	Sunday (12 Jun 2016)			
	9.00 - 10.30 am	Accounting Law	1.5	Mr. Phoxay Chanthavongsa Director, Internal Audit Petroleum Trading Lao Pubic company
	10.45 - 12.15 pm	Civil Procedure Law	1.5	Ministry of Justice
	13.30 - 15:30	Auditing Law	2	Business Development Training Centre
	16.00 - 17.00 pm	Certificate Awarding Ceremony		
		Total	70	
Test				
7	Sunday (19 Jun 2016)			
	9.00 - 12.00 pm	Test: Capital Market Session	3	
	Sunday (26 Jun 2016)			
	9.00 - 12.00 pm	Test: Laos Law Session	3	

Note: 1. Trainees who attend seminar to meet the attendant requirement will receive Certificate from Lao Securities Exchange

2. Trainees who attend the seminar to meet the attendant requirement that pass the examination both of 2 sessions conducted at the end of the course will receive a certificate of professional broker which they can apply for the brokerage license from Lao Securities Commission Office (LSCO)