

Program-Lao Professional Investment Banking I (2nd course)

04-05, 11-12, 18-19, 25-26, 30-31 October, 08-09 November, 2014

Week	Date /Time	Topics	Hrs.	Speaker
1	Saturday (04 Oct 2014)			
	8.30 - 9.00 am.	Registration		
	9.00 am. – 12.00 pm.	Financial Market Structure and Mechanism <ul style="list-style-type: none"> ▪ Investment : Macro and Micro Perspective ▪ Financial Market: Money Market and Capital Market 	3	Dethphouvang Moularat, Assoc. Prof. Chairman and CEO of Lao Securities Exchange
	1.30 – 3.00 pm	Capital Market Structure and Mechanism <ul style="list-style-type: none"> ▪ Types and Structure of Capital Market ▪ Capital Markets Mechanism ▪ Overview of Rules and Regulations and Related Organization (e.g. LSCO) ▪ Capital Market and AEC 	3	
	3.30-4.30 pm.	Ice Breaking Activities		
	Sunday (05 Oct 2014)			
	9.00 am - 12.00 am.	Financial Information and Financial Analysis Financial Statement (1) <ul style="list-style-type: none"> ▪ Getting to know financial statements <ul style="list-style-type: none"> - Balance Sheet - Income Statement - Cash Flow Statement 	3	Chusri Theasiripetch Associate Professor Head of Accounting School of Business Administration Chiang Mai University
	1.30 – 4.30 pm	Financial Statement (2) <ul style="list-style-type: none"> ▪ Financial statement analysis <ul style="list-style-type: none"> - Standardizing statements - Vertical & Horizontal analysis - Ratio analysis ▪ Case study/Workshop 	3	

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Week	Date /Time	Topics	Hrs.	Speaker
3	Saturday (18 Oct 2014)			
	9.00 am. - 12.00 am. 1.30 – 4.30 pm.	Financial Strategy and Portfolio Investment <ul style="list-style-type: none"> ▪ Risk and Return ▪ Portfolio Theory ▪ Capital Asset Pricing Model (CAPM) ▪ Securities Analysis ▪ Portfolio Performance Evaluation ▪ Case Study/Workshop 	6	Montree Socratyanurak, PhD. Associate Professor National Institute of Development Administration
	Sunday (19 Oct 2014)			
	8.30 am. - 10.00 am.	<ul style="list-style-type: none"> ▪ Foreign Investment in Lao PDR 	1.5	Daovone Phachanthavong Chairman APM (LAO) Securities, Vice President Lao National Chamber of Commerce and Industry
	10.30 am. - 12.00 pm.	Role of investment banking <ul style="list-style-type: none"> ▪ Why going public? ▪ Investment banking roles: <ul style="list-style-type: none"> • Equity • Debt • Equity-linked • Derivative 	1.5	Somphob Sakpunpanom, Mr. Chief Executive Officer APM(LAO) Securities Co.,Ltd.
	1.30 – 4.30 pm	Investment banking activities : <ul style="list-style-type: none"> ▪ Initial Public Offering ▪ Loan arrangement ▪ Debt restructuring ▪ Joint venture ▪ Q&A ▪ Case Study/Workshop 	3	

Week	Date /Time	Topics	Hrs.	Speaker
4	Saturday (25 Oct 2014)			
	9.00 am - 12.00 am.	Broker & Trading <ul style="list-style-type: none"> ▪ Role of broker in capital market - Trading rules ▪ Order transmission ▪ Cash account trading ▪ Margin account trading ▪ Clearing and Settlement Procedures 	3	Thepsavanh Kitignavong, Mr. Deputy Managing Director BCEL-KT
	1.30 – 4.30 pm.	<ul style="list-style-type: none"> ▪ Stock Index ▪ Tax for Equity Investment ▪ Case study/Workshop 	3	Viengkham Vansilalom, Mr. Director of Management and Administration Lao Securities Exchange
	Sunday (26 Oct 2014)			
	9.00 am. - 12.00 am.	Roles of LSCO as a Supervisory Unit <ul style="list-style-type: none"> ▪ Rule and Regulation ▪ Law of money market and capital market ▪ Securities Law 	3	Vathana Dalalay, Ms. President Lao Securities Commission Office (LSCO)
	1.30 – 4.30 pm.	Ethics and Corporate Governance <ul style="list-style-type: none"> ▪ Business ethics ▪ Corporate Social Responsibility (CSR) ▪ Management ,Board of directors and shareholders ▪ Agency costs ▪ CEO compensation ▪ Case Study/Workshop 	3	Dethphouvang Moularat, Assoc. Prof. Chairman and CEO of Lao Securities Exchange

Week	Date /Time	Topics	Hrs.	Speaker
5	Thursday (30 Oct 2014)			
	9.00 am. - 12.00 pm. 1.30 – 4.30 pm.	Study Tour / Company Visit in Bangkok <ul style="list-style-type: none"> The Stock Exchange of Thailand (SET) Broker firm : Finansia Syrus Securities Plc.(FSS) Alma Link Office 9th, 14th, 15th Floor, Alma Link Building, 25 Soi Chidlomm Ploenchit Road, Lumpini, Pathumwan, Bangkok 10330 Tel. (02) 646-9999 Financial advisory firm : Asset Pro Management Co., Ltd. (APM) 999/9 The Offices At Central World, Fl. 8,10 Unit 1101-1102, Rama I Road, Patumwan, Bangkok 	6	Ms. Naowaluck Klumsathian Head of New Listing Department Mr. Somphop Keerasuntonpong President Mr. Kuntra Ladavalyn Na Ayudhya Executive Director Mr. Somphob Sakpunpanom Chief Executive Officer Mr. Seksun Thanopajai President Mr. Somsak Sirichainarumitr President Asst. Prof. Suda Peetawan UTCC
	Friday (31 Oct 2014)			
	8.30 am. - 12.00 am. 1.30 – 4.30 pm.	Study Tour / Company Visit in Bangkok <ul style="list-style-type: none"> Listed company 	6	Somphob Sakpunpanom Chief Executive Officer APM(LAO) Securities Co.,Ltd. Suda Peetawan Assistant Professor School of Business Administration University of The Thai Chamber of Commerce

Week	Date /Time	Topics	Hrs.	Speaker
6	Saturday (8 Nov 2014)			
	9.00 – 10.30 am.	Decree on anti-money laundering	1.5	-
	10.45 – 12.15 am.	Enterprise Law	1.5	-
	1.30 am. – 3.00 pm	The bankruptcy of enterprises Law	1.5	NUOL
	3.15 – 4.45 pm.	The brown guaranteed performance contracts Law / Law on Secured Transactions	1.5	-
	Sunday (9 Nov 2014)			
	9.00 – 10.30 am	Accounting Law	1.5	-
	10.45 am. – 12.15 pm.	Auditing Law	1.5	-
	1:00-2:30	Civil Procedure Law	1.5	Ministry of Justice
	2.45 – 4.00 pm.	Experience and view of Financial Advisor: success and failure of listed company		Mr. Somphob Sakpunpanom Chief Executive Officer APM (LAO) Securities Co., Ltd. Assoc. Prof. Dethphouvang Moularat Chairman and CEO Lao Securities Exchange (Moderator)
	4.00-5.00 pm.	Certificate Awarding Ceremony		
		Total	72	
Test				
7	Sunday (16 Nov 2014)			
	9.00 am. – 12.00 pm.	Test: Session1	3	
	Sunday (23 Nov 2014)			
	9.00 am. – 12.00 pm.	Test: Session2	3	

- Note: 1. Trainees who attend seminar to meet the attendant requirement will receive Certificate from Lao Securities Exchange
2. Trainees who attend the seminar to meet the attendant requirement that pass the examination both of 2 sessions conducted at the end of the course will receive a certificate of professional broker which they can apply for the brokerage license from Lao Securities Commission Office (LSCO)