

5th Program - Lao Professional Investment Banking I (5th Program-La.PIB I)
Date: 05-06, 12-13, 19-20, 26-27, 31 August, 01, 09-10, 17-24 September 2017

Week	Date /Time	Topics	Hrs.	Speaker
1	Saturday (05 August 2017)			
	8.30 - 9.00 am.	Registration		
	9.00 - 9.45 am.	Opening Ceremony		Vanhkham Voravong Chief Executive Officer Lao Securities Exchange
	9.45 - 10.15 am.	Break		
	10.15 - 12.15 am.	Ice Breaking Activities	2	
	13.30 - 16.30 pm	Financial Market Structure and Mechanism <ul style="list-style-type: none"> ▪ Financial Market: Money Market and Capital Market Capital Market Structure and Mechanism <ul style="list-style-type: none"> ▪ Types and Structure of Capital Market ▪ Capital Markets Mechanism ▪ Overview of Rules and Regulations and Related Organization (e.g. LSCO, LSX) ▪ Capital Market and AEC 	3	Dethphouvang Moularat, Assoc. Prof. Former Chairman and CEO of Lao Securities Exchange
	Sunday (06 August 2017)			
	9.00 - 12.00 pm.	Financial Information and Financial Analysis Financial Statement (1) <ul style="list-style-type: none"> ▪ Getting to know financial statements <ul style="list-style-type: none"> - Balance Sheet - Income Statement - Cash Flow Statement 	3	Naruanard Sarapaivanich Assistant Professor, Ph.D Accounting Department Faculty of Business Administration Chiang Mai University
	13.30 - 16.30 pm	Financial Statement (2) <ul style="list-style-type: none"> ▪ Financial statement analysis <ul style="list-style-type: none"> - Standardizing statements - Vertical & Horizontal analysis - Ratio analysis ▪ Case study/Workshop 	3	

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Week	Date /Time	Topics	Hrs.	Speaker
3	Saturday (19 August 2017)			
	9.00 - 12.00 pm	Broker & Trading <ul style="list-style-type: none">▪ Role of broker in capital market - Trading rules▪ Order transmission▪ Cash account trading	3	Dr. Thepsavanh Kitignavong, Acting Managing Director of BCEL-KT
	13.30 - 16.30 pm.	<ul style="list-style-type: none">▪ Margin account trading▪ Clearing and Settlement Procedures▪ Stock Index▪ Tax for Equity Investment Case study/Workshop	3	Lao Securities Exchange
	Sunday (20 August 2017)			
	8.30 - 10.00 pm	<ul style="list-style-type: none">▪ Economic Situations and Investment	1.5	Dean of Faculty of Economics and Business Management National University of Laos
	10.30 - 12.00 pm	Role of investment banking <ul style="list-style-type: none">▪ Why going public?▪ Investment banking roles:<ul style="list-style-type: none">• Equity• Debt• Equity-linked• Derivative	1.5	Somphob Sakpunpanom, Chief Executive Officer APM(LAO) Securities Co., Ltd.
	13.30 - 16.30 pm	Investment banking activities: <ul style="list-style-type: none">▪ Initial Public Offering▪ Loan arrangement▪ Debt restructuring▪ Joint venture▪ Takeover or Merger & Acquisition▪ Q&A▪ Case Study/Workshop	3	

Week	Date /Time	Topics	Hrs.	Speaker
4	Saturday (26 August 2017)			
	9.00 - 12.00 pm	Financial Strategy and Portfolio Investment <ul style="list-style-type: none">Risk and ReturnPortfolio TheoryCapital Asset Pricing Model (CAPM)Securities Analysis	6	Montree Socratyanurak, Associate Professor Ph.D National Institute of Development Administration
	13.30 - 16.30 pm	<ul style="list-style-type: none">Portfolio Performance Evaluation Case Study/Workshop		
	Sunday (27 August 2017)			
	9.00 - 12.00 pm	Roles of LSCO as a Supervisory Unit <ul style="list-style-type: none">Rule and RegulationSecurities Law	3	Saysamone Chanthachack, President of Lao Securities Commission Office (LSCO)
13.30 - 16.30 pm.	Ethics and Corporate Governance <ul style="list-style-type: none">Business ethicsCorporate Social Responsibility (CSR)Management, Board of directors and shareholdersAgency costsCEO compensationCase Study/Workshop	3		

Week	Date /Time	Topics	Hrs.	Speaker
5	Thursday (31August 2017)			
	9.00 - 12.00 pm	Study Tour / Company Visit in Bangkok <ul style="list-style-type: none">The Stock Exchange of ThailandBroker firm: Finansia Syrus Securities Plc.(FSS) Alma Link Office 9 th , 14 th , 15 th Floor, Alma Link Building, 25 Soi Chidlomm, Ploenchit Road, Lumpini, Pathumwan, Bangkok 10330 Tel. (02) 646-9999	6	Somphob Keerasuntonpong President Mr. Kuntra Ladavalyn Na Ayudhya Executive Director
	13.30 - 16.30 pm	<ul style="list-style-type: none">Financial advisory firm: Asset Pro Management Co.,Ltd. (APM) 999/9 The Offices at Central World, Fl. 8,10 Unit 1101-1102, Rama I Road, Patumwan, Bangkok		Somphob Sakpunpanom Chairman Seksun Thanopajai Chief Executive Officer Somsak Sirichainarumitr Chief Executive Officer Asst. Prof. Suda Peetawan UTCC
Friday (01 September 2017)				
	8.30 - 12.00 pm	Study Tour / Company Visit in Bangkok <ul style="list-style-type: none">Listed company	2	Somphob Sakpunpanom Chief Executive Officer APM(LAO) Securities Co., Ltd. Suda Peetawan Assistant Professor School of Business Administration University of The Thai Chamber of Commerce

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6	Saturday (09 September 2017)			
	9.00 - 10.30 am	Law on anti-money laundering	1.5	BOL
	10.45 - 12.15 pm	Enterprise Law	1.5	Ministry of Industry & Commerce
	13.30 - 15.00 pm	The bankruptcy of enterprises Law	1.5	NUOL
	15.15 - 17:15 pm	Auditing Law	2	Business Development Training Centre
	Sunday (10 September 2017)			
	9.00 - 10.30 am	Accounting Law	1.5	Phoxay Chanthavongsa Director, Internal Audit Petroleum Trading Lao Pubic company
	10.45 - 12.15 pm	Civil Procedure Law	1.5	Ministry of Justice
	13.30 - 15.00 pm.	The brown guaranteed performance contracts Law	1.5	NUOL
	16.00 - 17.00 pm	Certificate Awarding Ceremony		
		Total	70	
Test				
7	Sunday (17 September 2017)			
	9.00 - 12.00 pm	Test: Capital Market Session	3	
	Sunday (24 September 2017)			
	9.00 - 12.00 pm	Test: Laos Law Session	3	

Note: 1. Trainees who attend seminar to meet the attendant requirement will receive Certificate from Lao Securities Exchange

2. Trainees who attend the seminar to meet the attendant requirement that pass the examination both of 2 sessions conducted at the end of the course will receive a certificate of professional broker which they can apply for the brokerage license from Lao Securities Commission Office (LSCO)